



COTSWOLD
DISTRICT COUNCIL

Wednesday, 20 January 2021

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AUDIT COMMITTEE

A meeting of the Audit Committee will be held remotely, via Cisco Webex on Thursday, 28 January 2021 at 4.00 pm.

Rob Weaver
Chief Executive

To: Members of the Audit Committee

(Councillors Councillor Stephen Andrews, Councillor Tony Berry, Councillor Patrick Coleman, Councillor Mark Harris, Councillor Roly Hughes, Councillor Nick Maunder and Councillor Ray Theodoulou)

Due to the current social distancing requirements and guidance relating to Coronavirus Regulations 2020 – Part 3 – Modification of meetings and public access requirements this meeting will be conducted remotely using Cisco Webex.

Members of the public will be able to follow the proceedings through a broadcast on [Cotswold District Council Facebook account](#) (You do not need a Facebook account for this).

Cotswold District Council, Trinity Road, Cirencester, Gloucestershire, GL7 1PX

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Recording of Proceedings – The law allows the public proceedings of Council, Cabinet, and Committee Meetings to be recorded, which includes filming as well as audio-recording. Photography is also permitted.

As a matter of courtesy, if you intend to record any part of the proceedings please let the Committee Administrator know prior to the date of the meeting.

AGENDA

1. Apologies
2. Substitute Members
To note details of any substitution arrangements in place for the meeting.
3. Declarations of Interest
To receive any declarations of interest from Members and Officers, relating to items to be considered at the meeting.
4. Minutes (Pages 7 - 12)
To confirm the minutes of the meeting of the Committee held on 26 November 2020.
5. Chair's Announcements
6. Public Questions
To deal with questions from the public within the open forum question and answer session of fifteen minutes in total. Questions or supplementary questions from each member of the public should be no longer than two minutes each and relate issues under the Committee's remit.
7. Member Questions
To deal with written questions by Members, relating to issues under the Committee's remit, with the maximum length of oral supplementary questions at Committee being no longer than one minute. Responses to any supplementary questions will be dealt with in writing following the meeting
8. Internal Audit Progress Report (Pages 13 - 44)
9. Annual Audit Letter (Pages 45 - 62)

10. Ubico – Governance Overview and Business Plan - Update (Pages 63 - 70)
11. Arrangements for Investigating Allegations under the Member Code of Conduct (Pages 71 - 90)
12. Update to Council Contract Rules (Pages 91 - 148)
13. Capital, Investment and Treasury Management Strategies for 2021/22 (Pages 149 - 214)
14. Corporate Risk Register Updates (Pages 215 - 222)
15. Changes to Governance Arrangements at SWAP Internal Audit Services Ltd. (Pages 223 - 230)
16. Consultation on the 2021/22 audit fee scale for opted-in local government and police bodies (Pages 231 - 240)
17. Work Plan 2020/21 (Pages 241 - 242)
18. Exclusion of the Public and Press
To consider, and if so agreed, to

RESOLVE that under Section 100A(4) of the Local Government Act 1972 the public and Press be excluded from the Meeting for the following item of business on the grounds that it involves likely disclosure of exempt information as defined in paragraphs (3) and (7) of Part I of Schedule 12A to the said Act:

Paragraph 3 - Information relating to the financial or business affairs of any particular person (including the authority holding that information).

Paragraph 7 - Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime

and that the public interest in maintaining the exemption outweighs the public

interest in disclosing the information concerned.

19. Exempt Report

(END)