



COTSWOLD DISTRICT COUNCIL

Council name	COTSWOLD DISTRICT COUNCIL
Name and date of Committee	COUNCIL – 27 NOVEMBER 2019
Report Number	AGENDA ITEM (11)
Subject	AUDIT COMMITTEE DECISIONS

Audit Committee – 26 September 2019

Minute No.	
AUD.22	<p>PRIVATE WATER SUPPLIES</p> <p>To receive a presentation from the Service Leader (Operational, Technical and Pollution Services) and Environmental & Regulatory Services Senior Officer; and an update on the changes made following the internal audit report on private water supplies.</p> <p>RESOLVED that the report and comments made be noted.</p> <p>Record of Voting - for 4, against 0, abstentions 0, absent 1.</p>
AUD.23	<p>STATEMENT OF ACCOUNTS 2018/19</p> <p>To receive the Council's audited Statement of Accounts for the period 1st April 2018 to 31st March 2019 to enable the Committee to consider and approve the Council's accounts.</p> <p>RESOLVED that:</p> <ul style="list-style-type: none"> (a) the Grant Thornton findings report for the Council be noted; (b) the Statement of Accounts be approved; (c) the Chief Finance Officer and the Chairman of the Audit Committee be authorised to write a letter of representation on behalf of the Committee and Council the Grant Thornton to enable an opinion to be issued. <p>Record of Voting - for 4, against 0, abstentions 0, absent 1.</p>
AUD.24	<p>TREASURY INVESTMENT OPPORTUNITY WITH A HOUSING REIT (REAL ESTATE INVESTMENT TRUST)</p> <p>To consider a treasury investment opportunity for the Council to invest in available long term funds in a Social Housing REIT (Real Estate Investment Trust).</p> <p>RESOLVED to note the report and comments made.</p> <p>Record of Voting - for 3, against 0, abstentions 0, absent 2.</p>

Audit Committee – 14 November 2019

Minute No.	
AUD.34	<p>GRANT THORNTON REPORTS</p> <p>Committee received and discussed details of the Annual Audit Letter for 2018/19 and an updated report from the Council's external auditors (Grant Thornton).</p> <p>RESOLVED that the Annual Audit Letter and update report from Grant Thornton be noted.</p> <p>Record of Voting - for 5, against 0, abstentions 0, absent 0.</p>
AUD.35	<p>TREASURY MANAGEMENT MID-YEAR PERFORMANCE REPORT 2019-20</p> <p>To receive and discuss the Council's Treasury Management performance for the period 1 April to 30 September 2019, for onward recommendation to the Council.</p> <p>RESOLVED that the Treasury Management mid-year performance be recommended to Council for approval.</p> <p>Record of Voting - for 5, against 0, abstentions 0, absent 0.</p>
AUD.36	<p>INTERNAL AUDIT PLAN PROGRESS REPORT 2019-20</p> <p>To receive a summary of the activity undertaken by Internal Audit since the Committee meeting on 25 July 2019.</p> <p>RESOLVED that the report be noted.</p> <p>Record of Voting - for 5, against 0, abstentions 0, absent 0.</p>
AUD.37	<p>COUNTER FRAUD UNIT REPORT</p> <p>To receive an assurance report in respect of the counter fraud activities of the Council; together with work plans detailing progress and results for consideration and comment as the body charged with governance in this area.</p> <p>To consider and comment on two new Policies in relation to the Regulation of Investigatory Powers Act 2000, and the Investigatory Powers Act 2016; in comparison with the Council's existing Policies and arrangements.</p> <p>RESOLVED that:</p> <ul style="list-style-type: none"> (a) the report, work plan and comments made, be noted; (b) the Regulation of Investigatory Powers Act 2000 Surveillance and Covert Human Intelligence Source Policy be recommended to Cabinet, to aid its deliberations and decision making. (c) the Investigatory Powers Act 2016 Acquisition of Communications Data Policy be recommended to Cabinet, to aid its deliberations and decision making. <p>Record of Voting - for 5, against 0, abstentions 0, absent 0.</p>

AUD.38	<p>CORPORATE RISK REGISTER UPDATES</p> <p>To receive an update on changes to the Council’s corporate risk register at the end of 2019/20 Q2.</p> <p>RESOLVED that:</p> <ul style="list-style-type: none"> (a) updates to the Council’s corporate risk register be noted; (b) risks associated with the Council’s declaration on the climate emergency be included in the document. <p>Record of Voting - for 5, against 0, abstentions 0, absent 0.</p>
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